



Section \$

PATENT

Docket No.: LBL-CIB-1572

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KENNETH A. GOLDBERG
Serial No.: 09/902,502
Filed: JULY 9, 2001
For: METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK
Group:
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited
envelope addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231 on:

10/22/01

John P. O'Banion, Reg. No. 33,201
10/22/01
Date of Signature

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed August 24, 2001,
a copy of which is enclosed, please find the following documents which are incorporated by reference
herein:

1. Combined Declaration and Power of Attorney.
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Check in the amount of \$1,548 (\$1,418 filing fees and \$130 surcharge for the fees due as a large entity).

6. Assignment;
7. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed			Number Extra		Rate	Basic Fee
							\$ 740.00
Total							
Claims 37 CFR 1.16(c)	39	- 20	=	19	X	\$18.00	= 342.00
Independent							
Claims (37 CFR 1.16(b))	7	- 3	=	4	X	\$84.00	= 336.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))						+	\$270.00 =

Filing Fee Calculation \$ 1,418.00

Surcharge \$ 130.00

TOTAL FILING FEE \$ 1,548.00

Small Entity Statement(s)

☐ Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27

Filing Fee Calculation (50% of above) \$ 1,548.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 1,548.00

☒ Assignment recording fee \$ 40.00

☐ Petition fee \$ 0

TOTAL FEES DUE \$ 1,588.00

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **KENNETH A. GOLDBERG**

Docket No.

LBL-CIB-1572

Serial No.

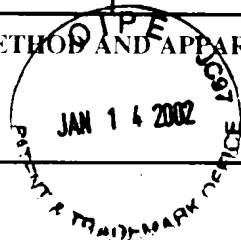
09/902,502

Filing Date

JULY 9, 2001

Examiner

Group Art Unit

Invention: **METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK**

I hereby certify that this notice to the missing parts of nonprovisional application (Page 1)
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington, D.C. 20231 on

10/22/01
(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in dark ink, appearing to read "John P. O'Banion".

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

COPY OF PAPERS
ORIGINALLY FILED

Dated: 10/22/01

Respectfully submitted,

A handwritten signature in black ink, appearing to read "J. O'Banion", with a long horizontal flourish extending to the left.

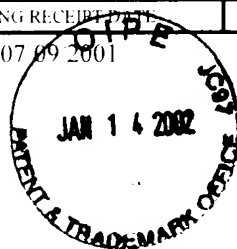
John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 902,502	07 09 2001	Kenneth A. Goldberg	LBL-CIB-1572



John P. O'Banion
O'BANION & RITCHEY LLP
Suite 1550
400 Capitol Mall
Sacramento, CA 95814

CONFIRMATION NO. 5914

FORMALITIES LETTER



OC000000006469705

Date Mailed: 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/18/2002 BABRAH1 00000052 09902502

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	342.00 OP
04 FC:102	336.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$662.
 - \$342 for 19 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1502.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **KENNETH A. GOLDBERG**

Docket No.

LBL-CIB-1572

Serial No.

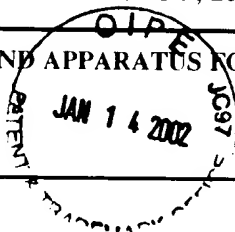
09/902,502

Filing Date

JULY 9, 2001

Examiner

Group Art Unit

Invention: **METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK**

Enclosed by copy and the Assignment Cover Letter and Assignment (Page 1 thru 5)
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington, D.C. 20231 on

1/27/01
(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **KENNETH A. GOLDBERG**

Docket No.

LBL-CIB-1572

Serial No.

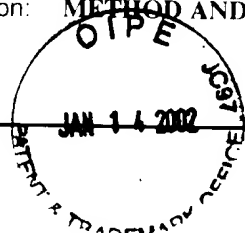
09/902,502

Filing Date

JULY 9, 2001

Examiner

Group Art Unit

Invention: **METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK**I hereby certify that this **POWER OF ATTORNEY BY Assignee (Page 1)**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/22/01

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **KENNETH A. GOLDBERG**

Docket No.

LBL-CIB-1572

Serial No.

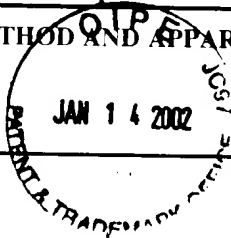
09/902,502

Filing Date

JULY 9, 2001

Examiner

Group Art Unit

Invention: **METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK**This is a Pre-Appeal Correspondence (Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/22/01

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

COPY OF PAPERS
ORIGINAL FILED